

# Uniform Assessment System for New York



## **What the Statewide Implementation Plan of the UAS-NY Means for Your Organization**

v 2013-04-19



Office of Health Insurance Programs  
Division of Long Term Care

# Contents

<b>Introduction to the UAS-NY .....</b>	<b>1</b>
The Purpose of this Document.....	1
UAS-NY Support Desk.....	1
<b>Assessment Instruments .....</b>	<b>2</b>
UAS-NY Assessment Instruments.....	2
New York State Medicaid Programs and Plans Affected.....	2
Assessment Instruments Being Replaced .....	3
Laws, Regulations, Policy, and Guidance .....	4
<b>Statewide Implementation Plan.....</b>	<b>5</b>
Pilot Implementation.....	5
Managed Long Term Care Implementation.....	5
Balance of State Fee-for-Service Programs Implementation.....	6

## **INTRODUCTION TO THE UAS-NY**

Welcome to the Uniform Assessment System for New York (UAS-NY), a Medicaid Redesign Team (MRT) project. The UAS-NY is a secure, web-based software application housed in the New York State (NYS) Department of Health's (DOH) Health Commerce System (HCS).

The UAS-NY contains electronic adult and pediatric assessment instruments for individuals being served in New York State's Medicaid home and community-based long term care setting.

### **The Purpose of this Document**

The purpose of this document is to convey the DOH's state-wide implementation plan for the UAS-NY, and clarify what your role is or may be with respect to the UAS-NY and the state-wide implementation.

### **UAS-NY Support Desk**

Upon reading this document in its entirety, if you have questions about if or how the UAS-NY implementation affects your organization, contact the UAS-NY support desk at:

518-408-1021

or

[uasny@health.state.ny.us](mailto:uasny@health.state.ny.us)

## ASSESSMENT INSTRUMENTS

This section of this guide presents information related to the NYS Medicaid programs and plans that will be affected by the implementation of the UAS-NY, the assessment instruments that are being replaced, and the timeline for statewide implementation.

### UAS-NY Assessment Instruments

The UAS-NY contains three assessment instruments:

- Community Assessment — adults over the age of 18
- Pediatric 4-17 — children ages 4 through 17
- Pediatric 0-3 — children ages birth through 3

The **UAS-NY Community Assessment** instrument enables an assessor to review multiple domains of function, health, social support, and service use. The Community Assessment includes two supplements: the Functional Supplement and the Mental Health Supplement. The Functional Supplement captures additional information related to health, function, and support. The Mental Health Supplement captures additional information related to mental health service history, mental state, and social relations.

The **UAS-NY Pediatric Assessment** instruments also review multiple domains of function, health, social support, and service use *within an age appropriate context*. The pediatric assessments do not include supplements.

### New York State Medicaid Programs and Plans Affected

The UAS-NY and its related assessment instruments will be used in the following New York State home and community-based long term care Medicaid programs.

- Adult Day Health Care (ADHC)
- Assisted Living Program (ALP)
- Care at Home (CAH) I/II Waiver
- Long Term Home Health Care Program (LTHHCP)
- Managed Long Term Care (MLTC)
  - MAP - Medicaid Advantage Plus
  - PACE - Program for the All-Inclusive Care of the Elderly
  - PCP - Partial Capitation Plan
- Personal Care Services (PCS) and Consumer Directed Personal Assistance Program (CDPAP)
- Nursing Home Transition and Diversion Waiver (NHTD)
- Traumatic Brain Injury Waiver (TBI)

**Important Note:** Any organization that operates, or conducts assessments for organizations who operate, one of these Programs or Plans will eventually use the UAS-NY to conduct assessments.

## Assessment Instruments Being Replaced

The UAS-NY and its related assessment instruments will replace the following instruments and forms that are currently in use:

Program	Instruments/Forms Used
Adult Day Health Care	Registrant Assessment Instrument (RAI)
Assisted Living Program (ALP)	Hospital/Community Patient Review Instrument (PRI) Screen DSS- 4449B Identifying Information DSS- 4449D Nursing/Functional/Social Assessment *DSS- 4449C Medical Evaluation and Physician Orders * The Medical Evaluation will be partially replaced by the UAS-NY. The physician's section will be substantially shortened.
Care At Home (CAH) I/II Waiver	DSS-4362 Pediatric Patient Review Instrument (PPRI) DSS-3139 (Home Assessment Abstract) or approved local equivalent
Long Term Home Health Care Program	DMS-1 (Long Term Care Placement Form) DSS-3139 (Home Assessment Abstract)
Managed Long Term Care	Semi-Annual Assessment of Members (SAAM)
Personal Care Services/ Consumer Directed Personal Assistance Program (CDPAP)	DSS-3139 (Home Assessment Abstract) DMS-1 (Long Term Care Placement Form)
Personal Care Services – NYC	M27-R (RN Assessment Task Based Assessment) M11-S (Social Assessment)
NHTD/TBI Waivers	Hospital/ Community Patient Review Instrument (PRI) Screen The Initial Service Plan (ISP) will not be replaced until the service planning component is implemented in the UAS-NY.

**Important Note:** Any organization that completes these named instruments or forms, either for their own organization or for other organizations, will eventually use the UAS-NY.

## **Laws, Regulations, Policy, and Guidance**

The implementation of the UAS-NY will *not* alter your obligation to adhere to existing laws, regulations, policy, and guidance for the respective Programs or Plans you operate or coordinate with.

It is important to note that the UAS-NY is not a nursing home admission tool. Nursing home admissions will still require a PRI, and staff conducting the PRI must be certified to use that instrument.

In addition, federally required assessments, such as the Minimum Data Set (MDS) and Outcome and Assessment Information Set (OASIS), will *not* be replaced by the UAS-NY.

## STATEWIDE IMPLEMENTATION PLAN

This section presents the statewide implementation plan so that you can understand your organization's involvement in the context of the broader 2013 implementation. The UAS-NY implementation plan will be executed throughout 2013 and be completed by early 2014.

The statewide implementation of the UAS-NY consists of three concurrently-executed plans, each of which is described below:

- Pilot Implementation
- Managed Long Term Care Implementation
- Balance of State Fee for Service Programs Implementation

### Pilot Implementation

The Pilot is the first live use of the UAS-NY. Four counties who were involved in the UAS-NY beta test in the summer of 2012 have been identified to participate in the Pilot. The four counties are **Broome, Chautauqua, Otsego, and Warren**. The Pilot began in mid-March 2013 with the availability of the UAS-NY application.

The Pilot will be conducted for approximately two months (ending May 31), at the end of which time all organizations in these four counties who are involved in home and community-based long term care programs—*except Managed Long Term Care plans*—will thereafter conduct assessments using only the UAS-NY.

- If your organization is one of the Programs identified on page 2, and you operate in any of the named Pilot counties, then you are included as a Pilot participant and are expected to transition according to the plan.
- If you conduct assessments for an organization that is one of the Programs identified on page 2 that also operates in any of the named Pilot counties, then you are included as a Pilot participant and are expected to transition according to the plan.

### Managed Long Term Care Implementation

All MLTC plans throughout the entire state began in March 2013 making preparations for using the UAS-NY such that beginning July 1, 2013, all assessments conducted under MLTC will be performed using *only* the UAS-NY.

- If you are a Managed Long Term Care Plan (PACE, MAP, PCP), you are included in this implementation and are expected to transition according to the plan.
- If your organization conducts assessments for a Managed Long Term Care Plan (for example as a sub-contracted Licensed Home Care Services Agency), you are included in this implementation and are expected to transition according to the statewide plan *in order to conduct assessments for the MLTC plan's members*.

## Balance of State Fee-for-Service Programs Implementation

The remaining counties and regions throughout New York State will transition to using the UAS-NY according to the rolling implementation schedule presented in the table below. This pertains to all **fee-for-service programs** operating or assessing in the counties specified below.

Region	Counties	Begin Transition	Implementation Deadline
Region VI	Allegany, Cattaraugus, Chemung, Erie, Genesee, Livingston, Monroe, Niagara, Ontario, Orleans, Schuyler, Seneca, Steuben, Wayne, Wyoming, Yates	May 1, 2013	October 1, 2013
Region V	Cayuga, Chenango, Cortland, Delaware, Herkimer, Jefferson, Lewis, Madison, Oneida, Onondaga, Oswego, St. Lawrence, Tioga, Tompkins	June 1, 2013	November 1, 2013
Region IV	Albany, Clinton, Columbia, Essex, Franklin, Fulton, Greene, Hamilton, Montgomery, Otsego, Rensselaer, Saratoga, Schenectady, Schoharie, Washington	July 1, 2013	December 1, 2013
Region III	Dutchess, Orange, Putnam, Sullivan, Ulster, Rockland	August 1, 2013	January 1, 2014
Regions I & II	Nassau, New York City, Suffolk, Westchester	September 1, 2013	February 1, 2014

- If your organization is one of the Programs identified on page 2, your transition to the UAS-NY will begin according to the entry in the above table that corresponds to the county in which your program operates.
- If you conduct assessments for one of the Programs identified on page 2, your transition to the UAS-NY will begin according to the entry in the above table that corresponds to the county of operation of the Program for whom you conduct assessments.

**Note:** The Implementation Deadline is the *latest* date your organization will be allowed to use the currently administered assessment instruments, *not the earliest date you may use the UAS-NY*. If your organization can complete its transition activities more expediently than the amount of time afforded by the implementation schedule—but still according to the plan’s timeline for counties/regions—the UAS-NY project team welcomes and encourages you to do so.